

致 : 道口证券有限公司
To : DaoKou Securities Limited

地址: 香港灣仔港灣道23號鷹君中心14樓1406室
Address: Unit 1406, 14/F Great Eagle Centre,
23Harbour Road, Wan Chai, Hong Kong
电话号码 Tel No. : (852) 2208 7800 / (852) 2208 7820 , 传真号码 Fax No. : (852)2521 7700

道口证券有限公司資料 :
中央结算參與者名稱: 道口证券有限公司
中央结算參與者編號: B01466
交收部及電話號碼 : +852 22087832

日期 Date : _____

投资者/股票交收指示表格

Investor/Securities Settlement Instruction (I.S.I. / S.I.) Form

客户名称
Account Name : _____

户口号码
Account Number : _____

本人/吾等特此通知贵公司
I/We hereby instruct your Company to :

将下列股票**存入**本人/吾等之证券户口,
RECEIVE the following securities for my/our securities account

由本人/吾等之证券户口**提取**下列股票
DELIVER the following securities for my/our securities account

并透过中央结算及交收系统, 按以下内容执行交收指示
Via Central Clearing and Settlement System (CCASS) to effect the settlement in accordance with the following details:

对手经纪名称
Name of Counter Party : _____ 交收日期
Settlement Date : _____

投资者中央结算/对手经纪代号 : _____ 联系人 : _____ 电话号码 : _____

Investor CCASS/Counter-party ID. No. Contact Person Tel No.

对手電郵 Email: _____

交收指示目的 : C / L / P / M (C = Broker-Custodian transaction 经纪与托管商之间的交易, L = Stock borrowing/Lending 借股/贷股, P = Stock pledging 按股, M = Portfolio movement 证券组合转移)

交付指示 : (Y = YES 需要, N = NO 不需要)
DI Required

付款指示 Payment Instruction :-

<input type="checkbox"/> 无须付款 Free of Payment (FOP)	<input type="checkbox"/> 付予港币 Against payment (DVP)
股票号码 Stock Code	股票名称 Stock Name

本人/吾等确认上述股票的实益拥有权并没有因进行本交收指示而出现任何变动。本人/吾等亦承诺就本交收指示所可能令 贵公司挚受或承担的任何费用或开支, 当中包括不限于印花税, 向贵公司作出弥补及使之获得弥补。

I/We confirm that there is no change in beneficial ownership through effecting this settlement instruction. I/We also undertake to indemnify and keep your Company indemnified in respect of any costs and expense, including but not limited to stamp duty, whatsoever which may be suffered or incurred by you in connected with this settlement instruction.

根据客户电话指示
According to customer's phone instruction

录音电话号码
Record Phone No. : _____
日期及时间
Date & time : _____

SIGN HERE

客户签署 (请用留存本公司之印鉴签署)
Client's Signature (s) (Please use signature(s)/chop(s) filed with Company)

经纪签署
Requested by AE ()

公司专用 For Office Use Only			
AE/Branch Code	Request Received on :		Signature Verified by :
CCASS Input by :	CCASS Authorized by :		BOS Input by :